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Attorneys for Defendant Google Inc.

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

DANIEL MATERA and SUSAN RASHKIS,
as individuals, and on behalf of other persons
similarly situated,

Plaintiffs,

v.

GOOGLE, INC.,

Defendant.

Case No. 5:15-cv-04062 LHK

**CLASS ACTION SETTLEMENT
AGREEMENT**

Judge: The Hon. Lucy H. Koh

Plaintiffs Daniel Matera and Susan Rashkis, on their own behalf and on behalf of the Class Members, and Defendant Google Inc. (“Google”) hereby enter into this Class Action Settlement Agreement pursuant to Fed. R. Civ. P. 23, subject to the approval of the Court.

RECITALS

1. WHEREAS on September 4, 2015, Plaintiff Matera filed a Complaint and commenced this action;

2. WHEREAS on October 29, 2015, Google filed a motion to dismiss the Complaint and a motion to stay the litigation;

3. WHEREAS on February 5, 2016, the Court granted the motion to stay pending the United States Supreme Court’s anticipated ruling in *Spokeo, Inc. v. Robins*;

4. WHEREAS on May 25, 2016, the Court lifted the stay of the litigation and instructed the Parties to brief the issue of standing as informed by the Supreme Court’s ruling in *Spokeo, Inc. v. Robins*, 136 S. Ct. 1540 (2016);

5. WHEREAS on August 12, 2016, the Court denied Google’s motion to dismiss as to the merits of Plaintiff’s claims;

6. WHEREAS on September 23, 2016, the Court issued an order granting in part and denying in part Google’s motion to dismiss the Complaint based on lack of standing;

7. WHEREAS on September 27, 2016, the Court approved the Parties’ stipulation that Plaintiff may file an amended complaint;

8. WHEREAS on October 7, 2016, Plaintiffs Matera and Rashkis filed an amended complaint;

9. WHEREAS on October 21, 2016, Google filed an answer to the Amended Complaint;

10. WHEREAS counsel for the Parties conducted arm's length negotiations with the assistance of a third-party neutral, Randall W. Wulff, with respect to a resolution of the claims in the Action, including a full-day mediation session on August 31, 2016 and a half-day mediation session on November 4, 2016;

11. WHEREAS, as a result of those efforts, on November 27, 2016, the parties entered into a settlement agreement;

12. WHEREAS on November 28, 2016, the Court stayed the Action pending approval of the class action settlement;

13. WHEREAS on December 13, 2016, Plaintiffs filed a Motion for Preliminary Approval of the Class Action Settlement and the Court heard argument on such Motion on March 9, 2017;

14. WHEREAS on March 15, 2017, the Court denied the Plaintiffs' Motion for Preliminary Approval of the Class Action Settlement and the parties thereafter renegotiated the terms of the proposed settlement to comport with the Court's denial order;

15. WHEREAS Google denies any wrongdoing whatsoever. This Agreement shall in no event be construed or deemed to be evidence of or an admission, presumption or concession on the part of Google of any fault, liability, or wrongdoing as to any facts or claims asserted in this action (or any infirmity in the defenses it has asserted or could assert in the Action), or any other actions or proceedings, and shall not be interpreted, construed, offered, or received in evidence or otherwise used against Google in any other action or proceeding, whether civil, criminal or administrative;

16. WHEREAS the Parties recognize that continued prosecution of this litigation would be protracted and expensive;

17. WHEREAS Plaintiffs have conducted discovery relating to the basis for the claims alleged in the Action and Plaintiffs' Class Counsel conclude, in light of the substantial benefits the Agreement confers on the Class Members, the applicable law, the uncertainties in the outcome of the Action and the expense and length of time necessary to prosecute the Action through trial and possible appeals, that the terms of the Agreement are fair, adequate, and reasonable and that it is in Class Members' interest that the Action be fully and finally settled against Google on the terms set forth herein. Google also believes that a settlement should be consummated as set forth herein.

NOW THEREFORE, the Parties, by and among themselves, and through their respective attorneys, hereby STIPULATE AND AGREE as follows:

DEFINITIONS

18. "Advertising Purposes" means for the purpose of serving advertisements, including advertisements served in Gmail and in other Google products and services. "Advertising Purposes" includes the creation of user models for the purpose of serving advertising.

19. "Agreement" means this Class Action Settlement Agreement.

20. "Action" means *Daniel Matera and Susan Rashkis v. Google, Inc.*, (N.D. Cal. Case No. 5:15-cv-04062 LHK).

21. "CIPA Class" means all natural persons in the State of California who have never established a Gmail account with Google, and who have sent unencrypted emails to individuals with Gmail accounts.

22. "Class Members" means all members of the CIPA Class and ECPA Class.

23. "Class Representatives" means Daniel Matera and Susan Rashkis.

24. “Court” means the United States District Court for the Northern District of California.

25. “ECPA Class” means all natural persons in the United States who have never established a Gmail account with Google and who sent unencrypted emails to individuals with Gmail accounts.

26. “Effective Date” means the first date after which the following events and conditions have occurred: (a) the Court has entered a Final Judgment; and (b) the Final Judgment has become final in that the time for appeal or writ has expired or, if any appeal and/or petition for review is taken and the settlement is affirmed, the time period during which further petition for hearing, appeal, or writ of certiorari can be taken has expired. If the Final Judgment is set aside, materially modified, or overturned by the Court or on appeal, and is not fully reinstated on further appeal, the judgment shall not be a Final Judgment.

27. “Exhibits” means the exhibits to this Agreement.

28. “Final Approval Hearing” means a hearing scheduled by the Court to determine the final fairness of the settlement embodied in this Agreement, provided that it grants preliminary approval and orders the Notice of Class Action Settlement, as provided for herein.

29. “Final Judgment” means the Final Judgment and Order of Dismissal, as entered by the Court, substantially in the form attached hereto as Exhibit A.

30. “Gmail account” means an email account for Google’s Gmail service only. This definition explicitly excludes G Suite (formerly Google Apps for Work and also known as Google Enterprise) and G Suite for Education (formerly Google Apps for Education).

31. “Google” means defendant Google Inc.

32. “Google’s Counsel” means Cooley LLP.

33. “Notice of Class Action Settlement” means the form of written notice of the proposed Class Action Settlement, as approved by the Court in the Preliminary Approval Order, substantially in the form attached hereto as Exhibit B.

34. “Notice Plan” means the plan for publishing notice to Class Members, which is attached as Exhibit C.

35. “Parties” means the Class Representatives and Google.

36. “Plaintiffs’ Class Counsel” means Loeff, Cabraser, Heimann & Bernstein, LLP; Carney, Bates & Pulliam, PLLC; and Gallo, LLP.

37. “Preliminary Approval Order” means the Court’s order preliminarily certifying the Classes for settlement purposes only, approving and directing notice, and setting the Final Approval Hearing, substantially in the form attached hereto as Exhibit D.

38. “Settlement Administrator” means the third-party class action administrator as appointed by the Court in the Preliminary Approval Order.

39. “Settlement Website” means the website containing Notice and other settlement documents maintained by the Settlement Administrator.

SETTLEMENT CONSIDERATION

40. In consideration for the releases set forth below, and the dismissal with prejudice of the Action, Google shall provide the following settlement benefits to Class Members. Google agrees to entry of a Stipulated Injunction which shall be effective for a period of not less than three years commencing one-hundred eighty (180) days after the Court enters Final Judgment (the “Relevant Period”), as follows:

Architectural Changes

a. For incoming email sent to a Gmail account, Google will cease all processing of email content that it applies prior to the point when the Gmail user can retrieve the email in his or her mailbox using the Gmail interface (“pre-delivery processing”) and that is used for Advertising Purposes. No information resulting from pre-delivery processing of email content will be used for any Advertising Purpose. In addition, information from pre-delivery processing of email content that occurred before the date of this Agreement or that occurs before the Stipulated Injunction goes into effect will not be used for Advertising Purposes once the Stipulated Injunction commences. Google agrees to refrain from all such activity described in this Section 40(a) for the Relevant Period. These technical changes and commitments shall apply to all incoming email sent to Gmail users in the United States.

b. For outgoing email sent from a Gmail account, Google will refrain from all processing of email content prior to the point when the Gmail user can retrieve the outgoing email in his or her mailbox using the Gmail interface (“outbound processing”) that is used for Advertising Purposes, and from using information from outbound processing of email content for any Advertising Purpose. Google agrees to refrain from all such activity for the Relevant Period. These technical changes and commitments shall apply to all outgoing emails sent by Gmail users in the United States.

c. For purposes of clarification, nothing in this Agreement will restrict Google’s ability to apply pre-delivery and outbound processing to emails received by Gmail users or sent by Gmail users, where such processing is done exclusively for non-Advertising Purposes.

d. Google represents that it has no present intention of eliminating the technical changes described above after the expiration of the term of the injunction. Google

believes, however, that the architecture and technical requirements for providing email services on a large scale evolve and change dynamically and that a longer commitment may hinder Google's ability to improve and change its architecture and technology to meet changing demands. If Google's new email architecture described above becomes outdated and must be improved or replaced during the pendency of the injunction, then Google may change its system design in a manner that will continue to comply with the requirements of the injunction above.

e. Google does not presently know, at the time of execution of this Agreement, the precise technical changes it will implement to comply with this section. Accordingly, Google agrees to provide Plaintiffs discovery sufficient to enable Plaintiffs to verify the technical changes required under this section.

f. Google shall, upon making the changes required under this section, deliver a written certification under oath to Class Counsel stating that it has made the technical changes required under this paragraph.

g. Google agrees to make these technical changes without any admission that its current email architecture and systems that have been in place in any way violated the law.

h. The Parties acknowledge that Google's internal architecture for processing incoming and outgoing email is highly confidential. Should the Court require additional information regarding the technical changes required by this injunction, Google agrees to provide such information *in camera* or under seal to protect Google's confidentiality interest in its internal email architecture.

Google Website Modifications

i. Within one-hundred eighty (180) days after the Court enters Final Judgment, Google will update existing statements on Google Help Center web pages that

describe the advertising-related scanning of emails in the Gmail system to be consistent with Google's practices following implementation of the Stipulated Injunction.

RELEASES

41. Upon entry of Final Judgment, the Class Representatives and all other Class Members, on behalf of themselves and their respective heirs, executors, administrators, representatives, agents, partners, successors, and assigns (collectively, the "Class Releasers"), waive, release, forever discharge, and will not in any manner pursue the Action or any claims, complaints, actions, proceedings, or remedies of any kind (including, without limitation, claims for attorneys' fees and expenses and costs) whether in law or in equity, under contract, tort or any other subject area, or under any statute, rule, regulation, order, or law, whether federal, state, or local, on any grounds whatsoever, arising from the beginning of time through the Effective Date, that were, could have been, or could be asserted by the Class Releasers arising out of or relating to any acts, facts, omissions or obligations, whether known or unknown, whether foreseen or unforeseen, arising out of or relating to the Action or the subject matter of the Action, against Google or any of Google's current or former directors, officers, members, administrators, agents, insurers, beneficiaries, trustees, employee benefit plans, representatives, servants, employees, attorneys, parents, subsidiaries, affiliates, divisions, branches, units, shareholders, investors, contractors, successors, joint venturers, predecessors, related entities, and assigns, and all other individuals and entities acting on Google's behalf (collectively, the "Google Releasees"). Notwithstanding the foregoing, the release provided for hereunder shall extend to claims for declaratory, injunctive and non-monetary equitable relief only. Without limiting the foregoing, no Class Member, with the exception of the Class Representatives, hereby releases any claim for damages under CIPA or ECPA.

42. The Class Representatives and Class Members represent and warrant that no claim, demand, complaint, action, proceeding, remedy, lien or any other matter subject to the release in Paragraph 41 has been in any way transferred to or is in any way held by any third party, and that the Class Representatives and Class Members have the legal authority to release all such matters and agree to indemnify and hold the Google Releasees harmless from any liability, loss, claims, demands, damages, costs, expenses, or attorneys' fees incurred as a result of any person or entity asserting such assignment or transfer.

43. Upon entry of Final Judgment, Google waives, releases, forever discharges, and will not in any manner pursue the Action or any claims, complaints, actions, proceedings, or remedies of any kind (including, without limitation, claims for attorneys' fees and expenses and costs) whether in law or in equity, under contract, tort or any other subject area, or under any statute, rule, regulation, order, or law, whether federal, state, or local, on any grounds whatsoever, arising from the beginning of time through the Effective Date, that were, could have been, or could be asserted by Google arising out of or relating to any acts, facts, omissions or obligations, whether known or unknown, whether foreseen or unforeseen, arising out of or relating to the Action or the subject matter of the Action, against the Class Members, Class Representatives, Plaintiffs' Class Counsel, and any of their respective heirs, executors, administrators, representatives, agents, partners, successors, and assigns ("Class Releasees"). The foregoing sentence notwithstanding, Google does not release and in fact retain any claim(s) which do not arise from or relate to the institution, prosecution, or settlement of the Action that they may otherwise have against any Class Releasee.

44. Google represents and warrants that no claim, demand, complaint, action, proceeding, remedy, lien or any other matter subject to the release in Paragraph 43 has been in

any way transferred to or is in any way held by any third party, and that Google has the legal authority to release all such matters and agrees to indemnify and hold the Class Releasees harmless from any liability, loss, claims, demands, damages, costs, expenses, or attorneys' fees incurred as a result of any person or entity asserting such assignment or transfer.

45. Except as explicitly provided herein, nothing in this Agreement abrogates, supersedes, modifies, or qualifies in any way any of the contractual terms and conditions applicable in the ordinary course of business to any relationship that may exist between Google and the Class Representatives or Class Members.

46. The Parties acknowledge that they have consulted with legal counsel and are familiar with the provisions of California Civil Code Section 1542, which states:

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

47. The Parties, being aware of California Civil Code Section 1542, expressly waive any rights they may have under that statute as well as under any other statute or common law principles of similar effect with respect to the claims released in Paragraphs 41 and 43, above, arising out of or relating in any way to the Action.

48. The Parties acknowledge that after the Effective Date they may discover facts different from or in addition to those that it may now know or believe to be true with respect to the matters being released by this Agreement. The Parties expressly assume the risk of the possible discovery of any such additional or different facts. This Agreement will remain effective in all respects regardless of any such additional or different facts.

49. The Class Representatives acknowledge, and other Class Members by operation of law shall be deemed to have acknowledged, that the release of unknown claims was separately bargained for and was a key element of the settlement embodied in this Agreement.

50. Upon entry of Final Judgment, the Class Releasors shall be enjoined from prosecuting any claim they have released in the preceding paragraphs in any proceeding against the Google Releasees or based on any actions taken by the Google Releasees that are authorized or required by this Agreement or by the Final Judgment. It is further agreed that the settlement may be pleaded as a complete defense to any proceeding subject to this section.

51. Nothing in Paragraphs 41 and 43 shall be a bar to a claim, complaint, action, or proceeding for breach of this Agreement.

NOTICE OF CLASS ACTION SETTLEMENT

52. The Parties agree to provide notice of the settlement to Class Members in accordance with the Notice Plan attached as Exhibit C.

53. The Settlement Administrator shall be responsible for disseminating notice pursuant to the Notice Plan and for operating the Settlement Website. Any material deviation from the Notice Plan must be approved by the Parties and the Court.

APPROVAL OF SETTLEMENT & SETTLEMENT IMPLEMENTATION

54. Preliminary Approval Hearing. Class Representatives will move for preliminary approval of the Agreement, submit this Agreement and Exhibits hereto with the motion, and request that the Court hold a hearing thereon. Class Representatives will submit therewith a proposed Order Granting Preliminary Settlement Approval substantially in the form attached hereto as Exhibit D.

55. Compliance with the Class Action Fairness Act. Google will provide notice of this Agreement that meets the requirements of the Class Action Fairness Act (“CAFA”), 28

U.S.C.

§ 1715, on the appropriate federal and state officials not later than ten calendar days after the Agreement is filed with the Court.

56. Procedure for Objecting to Class Action Settlement.

a. Class Members who wish to object to the settlement must make a written statement objecting to the settlement. Such written statement must be sent to the Settlement Administrator at the address specified in the Notice of Class Action Settlement, Exhibit B, no later than the deadline for objections set by the Court in its Preliminary Approval Order.

b. Any objection must contain: (i) the objector's name, address, and personal signature, (ii) a statement whether the objector intends to appear at the Final Approval Hearing, either in person or through counsel, and, if through counsel, identifying counsel by name, address, and phone number, and (iii) a statement of the grounds for his or her objection.

c. The date of the postmark on the envelope containing the written statement objecting to the settlement shall be the exclusive means used to determine whether an objection and/or intention to appear has been timely submitted. In the event a postmark is illegible, the date of mailing shall be deemed to be three days prior to the date the Settlement Administrator received the written statement. Class Members who fail to file and serve timely written objections in the manner specified above shall be deemed to have waived any objections and shall be forever barred from making any objection to the Agreement and the proposed settlement by appearing at the Final Approval Hearing, appeal, collateral attack, or otherwise.

57. No Solicitation of Settlement Objections. At no time shall any of the Parties or their counsel seek to solicit or otherwise encourage Class Members to submit written objections to the settlement, or encourage an appeal from the Court's Final Judgment. None of the Parties

shall initiate unsolicited contact with any Class Member for any purpose prohibited under this Agreement.

58. Final Settlement Approval Hearing and Entry of Final Judgment. A Final Approval Hearing shall be conducted to determine final approval of the settlement. Upon final approval of the settlement by the Court at or after the Final Approval Hearing, the Parties shall present a Final Judgment and Order of Dismissal to the Court for its approval and entry, substantially in the form attached hereto as Exhibit A.

59. Costs of Notice and Administration. Google shall be solely responsible for the costs and expenses incurred in the administration of the Settlement, including the cost of implementing the Notice Plan, not to exceed \$140,000. The Parties agree to cooperate in the settlement administration process and to make all reasonable efforts to control and minimize the costs and expenses incurred in the administration of the settlement.

60. Termination. The Parties shall have the right to terminate this Agreement if any of the following events occurs:

a. The Court does not enter an order granting preliminary approval of the settlement, as provided herein;

b. The Court does not enter an order granting final approval of the settlement, as provided herein;

c. The Court does not enter a Final Judgment that is materially the same as the form attached hereto as Exhibit A;

d. The Court requires Google to provide any class benefit other than those provided in this Agreement;

e. The Court requires material alteration of any provision of the Agreement for the settlement to be approved, including without limitation, the releases set forth in Paragraphs 41 and 43 or the Notice Plan set forth in Paragraph 52 and Exhibit C; or

f. The Court orders Google to pay an award of attorneys' fees, expenses, and Class Representative service awards that in the aggregate is greater than the amounts contemplated in Sections 64-66, below.

61. Notice of Termination. A party shall provide written notice of an intent to terminate this Agreement to counsel for the other party within thirty (30) calendar days after receiving notice that any of the foregoing events above has occurred.

62. Effect of Termination. In the event that this Agreement is voided, terminated, or cancelled, or fails to become effective for any reason whatsoever, then the Parties shall be deemed to have reverted to their respective statuses as of the date and time immediately prior to the execution of this Agreement, and they shall proceed in all respects as if this Agreement, its Exhibits, and any related agreements or orders, had never been executed or entered.

63. In the event one or more appeals are filed from the Court's Final Judgment, or any other appellate review is sought prior to the Effective Date, administration of the settlement shall be stayed pending final resolution of the appeal or other appellate review. Nothing, however, shall prohibit Google from fulfilling any of its obligations above, if in the exercise of its sole discretion it chooses to do so.

ATTORNEYS' FEES, EXPENSES AND SERVICE AWARDS

64. Google agrees to pay Plaintiffs' Class Counsel reasonable attorneys' fees and expenses in an amount to be approved by the Court but in no event greater than \$2,200,000 in fees and Plaintiffs' Class Counsel's actual out-of-pocket expenses up to \$100,000.

65. Google agrees to pay each Class Representative a service award in the amount of \$2,000, in recognition for their service to the Class Members, subject to Court approval.

66. Upon entry of a Preliminary Approval Order, Plaintiffs' Class Counsel shall submit a motion for approval of, and award of reasonable attorneys' fees and expenses, in an amount not to exceed \$2,200,000 in fees and Plaintiffs' Class Counsel's actual out-of-pocket expenses up to \$100,000, as well as for approval of the Class Representatives' service awards. Google will not oppose or in any way undermine Plaintiffs' Class Counsel's motion or solicit others to do so. The Parties negotiated this settlement term only after all of the substantive settlement terms were resolved.

67. Within thirty (30) business days of the latest of (1) entry of Final Judgment, and (2) receipt by Google of a completed W-9 form providing taxpayer identification information for the payment recipient identified below, Google will make payment of Plaintiffs' Class Counsel fee and the service awards to a trust account maintained by Lieff, Cabraser, Heimann & Bernstein, LLP.

ADDITIONAL PROVISIONS

68. **Best Efforts.** The Parties agree to cooperate in good faith and use their best efforts to effectuate all of their respective obligations under the Agreement, including obtaining preliminary and final settlement approval, and all steps that may be necessary in order to reach the Effective Date, and to do so as quickly and efficiently as practicable. In the event the Parties are unable to reach agreement on the form or content of any document needed to implement the settlement, or on any supplemental provisions that may become necessary to effectuate the terms of the settlement embodied in this Agreement, the Parties shall mediate the disagreement before Randall W. Wulff. The Parties shall not seek the Court's intervention until they have exhausted the mediation process.

69. Limited Admissibility of Agreement. This Agreement is made in compromise of a dispute. Regardless of whether the Court approves this Agreement, neither the Agreement nor anything that any of the Parties stated or did during the negotiation of this Agreement will be construed or used in any manner as an admission of liability or evidence of either party's fault, liability or wrongdoing. On the contrary, the Parties expressly deny any liability or wrongdoing whatsoever. Notwithstanding the foregoing restrictions in this paragraph, Google may file this Agreement and Final Judgment (if and when such Final Judgment is entered) in any action that may be or has been brought against it in order to support a defense, counterclaim or cross claim.

70. Notices. Unless otherwise specifically provided herein, all notices, demands, or other communications given hereunder shall be in writing and shall be deemed to have been duly given as of the date of electronic mailing. Postal mailing will be provided as well, addressed as follows:

To Plaintiffs' Class Counsel

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To Google's Counsel

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rhodesmg@cooley.com
wsomvichian@cooley.com

To Google

Google Inc.
ATTN: General Counsel
1600 Amphitheatre Parkway
Mountain View, CA 94043
Facsimile: 1-650-253-0001

71. Privacy. The Parties and all counsel agree that all orders and agreements regarding the confidentiality of documents and information remain in effect, including the Stipulated Protective Order entered on June 8, 2016, and all Parties and counsel remain bound to comply with them. Nothing contained in this Agreement or any order of the Court related to this Agreement, nor any act required to be performed pursuant to this Agreement is intended to constitute, cause, or effect any waiver (in whole or in part) of any attorney-client privilege, work product protection or any other privilege or protective doctrine afforded by law.

72. Exhibits. The terms of this Agreement include the terms set forth in the attached Exhibits, which are incorporated by this reference as though fully set forth herein. Exhibits to this Agreement are an integral part of the settlement.

73. Captions or Headings. The captions or headings of paragraphs in this Agreement are inserted for convenience, reference, and identification purposes only, and shall neither control, define, limit, nor affect any provisions of this Agreement.

74. Defined Terms. Terms defined in this Agreement shall have their defined meanings whenever and wherever they occur herein (including in Exhibits).

75. Materiality. The Parties have negotiated all of the terms and conditions of this Agreement at arm's-length. All terms, conditions, and Exhibits in their exact form have been individually negotiated and bargained for at arm's-length, are material and necessary to this Agreement, and have been relied upon by the Parties in entering into this Agreement.

76. Stay of Proceedings. To the extent approved by the Court, the Parties agree to stay all proceedings in the Action, except such proceedings necessary to implement and complete the settlement and including the discovery contemplated in Section 40(e) above, pending the entry of Final Judgment.

77. Amendment or Modification. Any amendment to this Agreement must be in writing, signed by the Parties, and expressly state that it is amending this Agreement.

78. Waiver of Compliance. No party will be treated as having waived any rights by not exercising (or delaying the exercise of) any rights under this Agreement. Moreover, a waiver of any breach of this Agreement by any party shall not be deemed to be a waiver by any party of any other breach of this Agreement.

79. Entire Agreement. This Agreement sets out all terms agreed between the Parties and supersedes all previous or contemporaneous agreements between the Parties relating to its subject matter. In entering into this Agreement neither party has relied on, and neither party will have any right or remedy based on, any statement, representation or warranty (whether made negligently or innocently), except those expressly set out in this Agreement.

80. Authorization to Enter Agreement. The Parties warrant and represent they are authorized to take all appropriate action required or permitted to be taken by such Parties pursuant to this Agreement, to effectuate its terms, and to execute any other documents required to effectuate the terms of this Agreement.

81. Parties' Knowledge and Advice of Counsel. Each party enters into this Agreement with the opportunity to seek the advice of counsel and executes and delivers this Agreement being fully informed as to its terms, content, and effect.

82. No Third Party Beneficiaries. This Agreement does not confer any benefits on any third party other than Class Members for whom a direct benefit is specifically provided hereunder.

83. Agreement Binding on Successors in Interest. This Agreement shall be binding on and inure to the benefit of the respective heirs, successors, and assigns of the Parties.

84. Assignment. This Agreement, including any of the rights and duties of any party hereto under the Agreement, may not be assigned without prior written approval by the other party.

85. No Additional Persons with Financial Interest. Google shall not be liable for any additional attorneys' fees and expenses of any Class Member's counsel, including any potential objectors or counsel representing a Class Member, other than what is expressly provided for in this Agreement.

86. Jurisdiction of the Court. The Court shall retain continuing and exclusive jurisdiction over the Parties to this Agreement, including all Class Members, and over the interpretation, implementation, administration and enforcement of this Agreement.

87. No Construction Against Any Party. The terms of this Agreement have been negotiated at arm's-length among knowledgeable Parties represented by experienced counsel. The Parties agree that the normal rules of construction that any ambiguity in a document is construed against the drafting party shall not apply to the interpretation or enforcement of this Agreement, as the Parties each participated in the drafting of this Agreement. The Parties

expressly waive the presumption of California Civil Code section 1654 that uncertainties in a contract are interpreted against the party who caused the uncertainty to exist.

88. Extensions of Time. The Parties may agree upon a reasonable extension of time for any deadline or date reflected in this Agreement, without further notice (subject to Court approval as to Court dates).

89. Fees Not a Penalty. No consideration or amount or sum paid, credited, offered, or expended by Google in its performance of this Agreement constitutes a penalty, fine, punitive damages, or other form of assessment for any alleged claim against Google.

90. Collateral Attack. This Agreement shall not be subject to collateral attack by any Class Members at any time on or after the Effective Date.

91. Counterparts. The Parties may execute this Agreement in counterparts, including facsimile, PDF, and other electronic copies, which taken together will constitute one instrument.

92. Governing Law and Venue.

(a) ALL CLAIMS ARISING OUT OF OR RELATING TO THIS AGREEMENT WILL BE GOVERNED BY CALIFORNIA LAW, WITHOUT REGARD TO OR APPLICATION OF CALIFORNIA'S CONFLICT OF LAWS RULES, AND WILL BE LITIGATED EXCLUSIVELY IN THE FEDERAL OR STATE COURTS OF SANTA CLARA COUNTY, CALIFORNIA, USA; THE PARTIES CONSENT TO PERSONAL JURISDICTION IN THOSE COURTS.

(b) If a party files any claim, complaint, action, or proceeding alleging a breach of this Agreement, the successful or prevailing party will be entitled to recover its attorneys' fees and other costs, in addition to any other relief to which the party may be entitled. Any action or

proceeding to enforce the Agreement shall, pursuant to the Court's retention of jurisdiction with respect to the settlement, be brought in this Court.

93. Signatures. Each person executing this Agreement warrants that such person has the full authority to do so.

IN WITNESS WHEREOF, the Parties have caused the Agreement to be executed by their duly authorized attorneys.

APPROVED AND AGREED TO BY THE PLAINTIFFS:

Dated: _____, 2017
_____ Daniel Matera

Dated: _____, 2017
_____ Susan Rashkis

APPROVED AND AGREED TO BY DEFENDANT:

Dated: July 21, 2017
GOOGLE INC.
By: William G Berry
Title: William G Berry
LITIGATION COUNSEL

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proceeding to enforce the Agreement shall, pursuant to the Court's retention of jurisdiction with respect to the settlement, be brought in this Court.

93. Signatures. Each person executing this Agreement warrants that such person has the full authority to do so.

IN WITNESS WHEREOF, the Parties have caused the Agreement to be executed by their duly authorized attorneys.

APPROVED AND AGREED TO BY THE PLAINTIFFS:

Dated: 7-21, 2017 
Daniel Matera

Dated: 7-21, 2017 _____
Susan Rashkis

APPROVED AND AGREED TO BY DEFENDANT:

Dated: _____, 2017 GOOGLE INC.
By: _____
Title: _____

proceeding to enforce the Agreement shall, pursuant to the Court’s retention of jurisdiction with respect to the settlement, be brought in this Court.

93. Signatures. Each person executing this Agreement warrants that such person has the full authority to do so.

IN WITNESS WHEREOF, the Parties have caused the Agreement to be executed by their duly authorized attorneys.

APPROVED AND AGREED TO BY THE PLAINTIFFS:

Dated: _____, 2017

Daniel Matera

Dated: July 21, 2017


Susan Rashkis

APPROVED AND AGREED TO BY DEFENDANT:

Dated: _____, 2017

GOOGLE, INC.

By: _____

Title: _____

EXHIBIT A

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

DANIEL MATERA, SUSAN RASHKIS as
individuals, and on behalf of other persons
similarly situated,

Plaintiffs,

v.

GOOGLE INC.,

Defendant.

Case No. 5:15-cv-04062 LHK

**[PROPOSED] FINAL ORDER
APPROVING SETTLEMENT AND
DISMISSING CLAIMS OF CLASS
MEMBERS**

Date:
Time:
Dept.: Courtroom 8 - 4th Floor
280 S. First Street
San Jose, CA 95113

Judge: The Hon. Lucy H. Koh
Trial Date: June 18, 2018

1 This matter came on for hearing on [_____], 2017. The Court has considered the
2 Settlement Agreement (“Settlement”), objections and comments received regarding the Settlement,
3 the record in the Action, and the arguments and authorities of counsel. Good cause appearing,

4 **IT IS HEREBY ORDERED, ADJUDGED AND DECREED** as follows:

5 1. The Court, for purposes of this Final Judgment Approving Settlement and Dismissing
6 Claims of Class Members with Prejudice (“Judgment”), adopts the terms and definitions set forth in
7 the Settlement.

8 2. This Court has jurisdiction over the subject matter of this Action, all parties to the
9 Action, and all Class Members.

10 3. The Court finds that the notice to the Class of the pendency of the Action and of this
11 Settlement, Class Counsel’s application for attorneys’ fees and expenses, and the application for
12 service awards for Class Representatives, as provided for in the Settlement and by Order of this
13 Court, has been implemented and fully complied with the requirements of Federal Rule of Civil
14 Procedure 23 and due process.

15 4. The Court finds that Google properly and timely notified the appropriate state and
16 federal officials of the Settlement, pursuant to the Class Action Fairness Act, 28 U.S.C. § 1715.

17 5. The Court approves the Settlement as fair, reasonable, and adequate and in the best
18 interests of the Class Members. The Court has specifically considered the factors relevant to class
19 settlement approval (*see, e.g., Churchill Village, L.L.C. v. General Elec.*, 361 F.3d 566 (9th Cir.
20 2004)), including, *inter alia*, the strength of Plaintiffs’ case; the risk, expense, complexity, and likely
21 duration of further litigation; the risk of maintaining class action status throughout trial; the relief
22 provided for in the Settlement; the extent of discovery completed and stage of the proceedings; the
23 experience and views of Class Counsel and the mediator; and the reaction of Class Members to the
24 proposed settlement.

25 6. The Court has also scrutinized the Settlement and negotiation history for any signs of
26 potential collusion (*see, e.g., In re Bluetooth Headset Prods. Liab. Litig.*, 654 F.3d 935 (9th Cir.
27 2011)), and finds that the Settlement is not the product of collusion. This finding is supported by,
28 among other things: the fact that the Settlement was negotiated by experienced, well-qualified

1 counsel; the Settlement provides substantial benefits to Class Members and such benefits are not
2 disproportionate to the attorneys' fees and expenses sought by Class Counsel; the benefits provided
3 to Class Members are appropriate under the circumstances of this case; and the parties began
4 negotiating regarding attorneys' fees and expenses only after reaching an agreement regarding the
5 key deal terms.

6 7. Pursuant to the Settlement, Google shall provide the following benefits to Class
7 Members:

8 (a) **Stipulated Injunction.** For a period of three years commencing one-hundred
9 eighty (180) days after the date of the Final Judgment (the "Relevant Period"), Google shall be
10 bound by the Stipulated Injunction set forth in the Settlement. Under the terms of the Stipulated
11 Injunction, Google shall make technical changes such that:

12 For incoming email sent to a Gmail account, Google will cease all processing of email
13 content that it applies prior to the point when the Gmail user can retrieve the email in his or her
14 mailbox using the Gmail interface ("pre-delivery processing") and that is used for Advertising
15 Purposes, as that term is defined in the Settlement. No information resulting from pre-delivery
16 processing of email content will be used for any Advertising Purpose. In addition, information from
17 pre-delivery processing of email content that occurred before the date of this Agreement or that
18 occurs before the Stipulated Injunction goes into effect will not be used for Advertising Purposes
19 once the Stipulated Injunction commences. Google agrees to refrain from all such activity described
20 in this section (Section 40(a) of the Settlement) for the Relevant Period. These technical changes
21 and commitments shall apply to all incoming email sent to Gmail users in the United States. For
22 outgoing email sent from a Gmail account, Google will refrain from all processing of email content
23 prior to the point when the Gmail user can retrieve the outgoing email in his or her mailbox using the
24 Gmail interface ("outbound processing") that is used for Advertising Purposes, and from using
25 information from outbound processing of email content for any Advertising Purpose. Google agrees
26 to refrain from all such activity for the Relevant Period. These technical changes and commitments
27 shall apply to all outgoing emails sent by Gmail users in the United States.

28

1 If Google's new email architecture described above becomes outdated and must be improved
2 or replaced during the pendency of the injunction, then Google may change its system design in a
3 manner that will continue to comply with the requirements of the injunction above.

4 **(b) Certification.** Google shall, upon making the changes required under this
5 section, deliver a written certification under oath to Class Counsel stating that it has made the
6 technical changes required under this paragraph.

7 **8.** Class Counsel are hereby awarded attorneys' fees and expenses in the amount of
8 \$2,200,000 in fees and Class Counsel's actual out-of-pocket expenses up to \$100,000. Google shall
9 pay such amounts to Class Counsel pursuant to the terms of the Settlement. The Court finds these
10 amounts to be fair and reasonable and fairly compensates Class Counsel for their contributions to the
11 prosecution of this Action and the Settlement.

12 **9.** The Court hereby awards service awards in the amount of \$2,000 each, to each of the
13 Plaintiffs as Class Representatives, to compensate them for their commitments and efforts on behalf
14 of the Class in this Action. Google shall pay such amounts to Plaintiffs, pursuant to the terms of the
15 Settlement Agreement.

16 **10.** The Parties are to bear their own costs, except as awarded by this Court in this Final
17 Order.

18 **11.** The Parties and Class Members are bound by the terms and conditions of the
19 Settlement. Upon the Effective Date of this Settlement, Plaintiffs and each and every Class Member
20 shall be deemed to have released, acquitted and forever discharged the Google Releasees, as that
21 term is defined in the Settlement, from any and all Released Claims. The full terms of the release
22 described in this paragraph are set forth in Sections 41 through 51 of the Agreement. The Court
23 expressly adopts and incorporates by reference Sections 41 through 51 of the Agreement.

24 **12.** Per the terms of the Settlement, as of the Effective Date, the Class Representatives
25 and Class Members shall be deemed to have agreed not to sue or otherwise make any claim against
26 Google relating to Released Claims.

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1 **13.** The benefits described above are the only consideration Google shall be obligated to
2 give to the Class Members, with the exception of the service awards to be paid to the Class
3 Representatives.

4 **14.** The Action and all claims asserted in the Action are dismissed with prejudice as to
5 the Class Representatives and all Class Members.

6 **15.** The Court reserves exclusive and continuing jurisdiction over the Action, the Class
7 Representatives, the Class Members, and Google for the purposes of supervising the
8 implementation, enforcement, and construction of the Settlement and this Judgment.

9
10 **IT IS SO ORDERED.**

11
12 Dated: _____

THE HONORABLE LUCY H. KOH
United States District Judge

EXHIBIT B

NOTICE OF CLASS ACTION SETTLEMENT

TO: ALL NATURAL PERSONS IN THE UNITED STATES WHO HAVE NEVER ESTABLISHED A GMAIL ACCOUNT WITH GOOGLE AND WHO SENT EMAILS TO INDIVIDUALS WITH GMAIL ACCOUNTS.

A class action settlement has been reached with Google affecting people who have never had a Gmail account of their own, but have sent an email to a Gmail account (the “Settlement”). The Settlement requires Google to make business practice changes regarding the way it processes emails involving Gmail users. The Settlement does not provide money compensation to the class members. The plaintiffs’ lawyers will request that the Court award them reasonable attorneys’ fees and expenses as compensation for their obtaining Google’s agreement to make changes to its business practices.

You are not required to take any action. This Notice further explains the litigation, Settlement, and how you may comment or object to the Settlement if you want.

Summary of the Action

The plaintiffs in this case allege that Google applies automated processing to scan the content of emails sent by non-Gmail users to a Gmail account, while those emails are still in transit, and uses information obtained from the scanning for advertising purposes including creating user profiles of the Gmail users. In the case plaintiffs filed in the United States District Court for the Northern District of California (the “District Court”), entitled *Matera v. Google Inc.*, 5:15-cv-04062 LHK (the “Action”), they alleged that Google’s conduct violates the California Invasion of Privacy Act, Penal Code § 630 *et seq.*, (“CIPA”) and the Electronic Communications Privacy Act, 18 U.S.C. § 2510 *et seq.*, (“Wiretap Act”). The complaint requests that Google be required to change its practices and stop scanning emails of non-Gmail users as described above. The complaint does not seek any form of money compensation for the plaintiffs or other class members.

The Court has not made any determination of any wrongdoing by Google, and Google denies the allegations of the complaint and plaintiffs’ claims that Google’s automated processing of email violates CIPA or the Wiretap Act. On July 21, 2017 the parties agreed to settle all claims

1 in the Action to avoid the costs and disruption of further litigation.

2 **Summary of the Proposed Settlement**

3 In the Settlement, Google has agreed to cease all automated scanning of emails sent to
4 Gmail accounts for advertising purposes while the emails are in transmission prior to delivery to
5 the Gmail user’s inbox. This includes elimination of any scanning to create user profiles for
6 advertising purposes. The Settlement defines advertising purposes as “for the purpose of serving
7 advertisements, including advertisements served in Gmail and in other Google products and
8 services. ‘Advertising Purposes’ includes the creation of user models for the purpose of serving
9 advertising.” Although Google does not currently conduct any scanning for advertising purposes
10 related to outbound emails sent by Gmail users, Google has agreed to refrain from initiating any
11 scanning for advertising purpose of outbound emails. These prohibitions will remain in place for
12 three years.

13 Google also is making a business-related change to the Gmail service, as part of which,
14 Google will no longer scan the contents of emails sent to Gmail accounts for advertising purposes,
15 whether during the transmission process or after the emails have been delivered to the Gmail
16 user’s inbox. These changes are not subject to the three-year time period or other terms of the
17 Settlement. Google views these additional changes as independent of the Settlement, but as
18 consistent with and evidencing Google’s commitment to the Settlement.

19 **Settlement Class Members**

20 You are a Settlement Class Member if you are a natural person (that is, not a business or
21 other legal entity) in the United States who has never had a Google Gmail account, but have sent
22 an email to any person or entity which was not encrypted. (Emails are typically sent without
23 special encryption, or coding for secrecy, and plaintiffs do not allege that encrypted emails were
24 subject to Google’s scanning.) For all Settlement Class Members, the Settlement resolves their
25 claim under the federal Wiretap Act. For Settlement Class Members within the State of
26 California, the Settlement also resolves their claim under California’s CIPA law. The Settlement
27 Class and Subclass are defined as follows:

28 All natural persons in the United States who have never established a Gmail

1 account with Google, and who sent unencrypted emails to individuals with
2 Gmail accounts.

3 All natural persons in the State of California who have never established a
4 Gmail account with Google, and who sent unencrypted emails to individuals
5 with Gmail accounts.

6 **Your Rights May Be Affected by the Settlement**

7 If you have never had a Gmail account with Google but you sent unencrypted emails to
8 Gmail users in the past, or if you intend to do so in the future, your rights may be affected by this
9 Settlement. If approved by the Court, the Settlement will affect your right to seek injunctive,
10 declaratory and other non-monetary equitable relief against Google for the alleged practices at
11 issue in this Action. The settlement will not affect your right, if any, to seek monetary relief from
12 Google. You may obtain copies of the Settlement Agreement and related court filings, including
13 the Fee and Expense Application, by writing to [] or on the internet at [].

14 **No Opt-Outs**

15 Because the plaintiffs are seeking only injunctive relief and Google is only agreeing to
16 injunctive relief, class members cannot opt out of the Settlement. This means that all members of
17 the Settlement Classes will be bound by the Settlement if the Court approves it.

18 **Release**

19 If the Settlement is approved, Settlement Class members will be deemed to have released
20 Google from and for any and all claims, whether known or unknown, which you have or may
21 have in the future, that were alleged or asserted against Google in the Action or that could have
22 been alleged or asserted against Google in the Action. Notwithstanding the foregoing, you will
23 only be deemed to have released claims for declaratory, injunctive, and non-monetary equitable
24 relief. You will not be deemed to have released any claims for monetary relief.

25 **Plaintiffs' Counsel's Request for Reasonable Attorneys' Fees and Costs**

26 The individual plaintiffs and the Settlement Classes are represented by Lief Cabraser
27 Heimann & Bernstein, LLP; Carney Bates & Pulliam, PLCC; and Gallo LLP ("Class Counsel").
28 Class Counsel will request that the Court award them a reasonable attorneys' fee and

1 reimbursement of their costs for their service to the Settlement Class in litigating this Action and
2 obtaining the Settlement for the benefit of the Settlement Class Members. Any attorneys' fee and
3 costs awarded by the Court will be paid solely by Google. Google will not oppose a Fee and
4 Expense Application that does not exceed \$2,200,000 in fees and Class Counsel's actual out-of-
5 pocket expenses up to \$100,000. Class Counsel will also request that the two named plaintiffs in
6 the Action each receive a service award of \$2,000 each. These requests for an award of
7 attorney's fees and costs and service awards will be filed with the Court on or before [_____]
8 and will be available for review on the internet at [_____].

9 **Final Approval Hearing, Comments, and Objections**

10 The proposed Settlement will not be final unless and until the United States District Court
11 approves it. The Court has set a final approval hearing about the proposed settlement at [time] on
12 [month] [day], 2017 before the Honorable Lucy H. Koh, United States District Court Judge, in
13 Courtroom 8, at the Robert F. Peckham Federal Building, 280 South First Street, San Jose,
14 California, to determine whether: (1) the proposed Settlement is fair, reasonable, and adequate;
15 (2) a Judgment and Order of Final Approval should be entered by the District Court to dismiss the
16 Action with prejudice; and (3) the plaintiffs' lawyers' Fee and Expense Application should be
17 approved. Any Settlement Class member may submit a comment or objection to the Settlement.
18 Comments or objections must be submitted in writing by [date], 2017, to the Settlement
19 Administrator at the address below, referencing "*Matera v. Google Inc.*, 5:15-CV-04062." To be
20 considered, any objection must contain: (i) the objector's name, address, and personal signature;
21 (ii) a statement whether the objector intends to appear at the final approval hearing, either in
22 person or through counsel, and, if through counsel, identifying the counsel; and (iii) a statement
23 of the grounds for the objection. If you do not submit a timely written objection, or if you do not
24 request participation in the final approval hearing, you will not be able to participate in the final
25 approval hearing. Submit comments or objections to:

26 [Insert Settlement Administrator's Address]

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More Information

You may obtain more information at [website] or by contacting the Settlement Administrator at [add contact info]. **PLEASE DO NOT CONTACT THE DISTRICT COURT OR THE CLERK’S OFFICE WITH QUESTIONS REGARDING THIS NOTICE.**

This Notice is not an expression of any opinion by the Court as to the merits of the lawsuit or as to the fairness of the Settlement. This notice is published to advise you of the pendency of the Actions, the Settlement, and your associated rights.

DATED: [month] [day], 2017

BY ORDER OF THE DISTRICT COURT,
UNITED STATES DISTRICT COURT FOR THE
NORTHERN DISTRICT OF CALIFORNIA

EXHIBIT C

July 19, 2017

Michael Sobol, Esq.
Lieff Cabraser Heimann & Bernstein LLP
275 Battery Street 29th Floor
San Francisco, CA 94111-3339

Re: *Google Privacy Settlement*
Class Action Settlement Administration Services Estimate

Dear Michael,

We appreciate the opportunity to submit this proposal and cost estimate for class action administration services pertaining to the *Google Privacy Settlement*.

For the purposes of this proposal, we applied the following assumptions with respect to KCC's duties:

- Perform any required CAFA mailing to appropriate government officials;
- KCC's Legal Notification Services will produce and place Internet Banner ads targeting adults 18+, at a 3x frequency cap and a 300x250 pixel banner ad size *only*, for a total of 100,000,000 impressions;
- Establish and maintain a case website that will contain relevant case documents, important dates and frequently asked questions; and
- Provide a Declaration of Notice Procedures to the parties indicating our compliance with the noticing efforts.

With experience administering more than 6,000 settlements, KCC provides high-quality and cost-effective class action administration services including pre-settlement consulting, settlement funds escrow, class member data management, legal notification, call center support, claims administration as well as disbursement and tax reporting services. We are a knowledgeable partner who proactively works with you throughout the settlement administration process and are well-positioned to handle your matter immediately.

Our domestic infrastructure, the largest in the industry, includes a 900-seat call center and document production capabilities that handle hundreds of millions of documents annually. Last year, our disbursement services team distributed \$500 billion to payees in the form of 29 million checks and 11 million electronic transfers.

Please contact me with any questions regarding the enclosed case assumptions and cost estimate. We will hold this proposal and estimate open for ninety days from the date of this letter. Thank you for your time and consideration.

Sincerely,



Patrick J. Ivie
Senior EVP, Class Action Services
KCC LLC
Tel: (310) 776-7385
Cell: (310) 795-9742
Email: pivie@kccllc.com



Jenny Trang
Director, Class Action Services
KCC LLC
Tel: (212) 805-7306
Cell: (718) 753-1314
Email: JTrang@kccllc.com



COST SUMMARY & SCOPE OF SERVICES

We will perform this administration for a flat cost of \$123,500.

CLASS MEMBER DATA MANAGEMENT

Data and Forms Management

We will process class member data and pre-assign a unique sequential control number to each class member that will be used throughout the administration process.

We will format all relevant documents and will send all document proofs to you for approval prior to printing.

We will store all paper and electronic documentation received throughout the duration of the case. Upon the conclusion of the case, and absent any court orders or client requests pertaining to retention specifications, we will return or dispose of the physical materials within ninety (90) days. Any returned undeliverable mail will be disposed of within 2 days of receipt, absent any court orders or client requests pertaining to retention specifications. The storage of returned undeliverable mail will be billed as incurred.

LEGAL NOTIFICATION

CAFA Notice

We will copy the exhibits of the Settlement onto CD-ROMs and send them by USPS Priority Mail to all State Attorneys General and the US Attorney General. We recommend a generic cover letter and can share letters we have used previously.

Notice Publication

KCC's Legal Notification Services team will produce and place Internet Banner ads, 300x250 pixels in size *only*, targeting adults 18+ at a 3x frequency cap, for a total of 100,000,000 impressions.

Website Set-up and Maintenance

We will establish and maintain a case-specific website incorporating important court documents, dates, FAQs, forms and other pertinent case information.

Declaration of Notice Procedures

We will prepare a Declaration of Notice Procedures to report our compliance with all class notification requirements.



**Administration Services Estimate
Google Privacy Settlement**

July 19, 2017

Patrick Ivie; pivie@kccllc.com; 310.776.7385

Jenny Trang; jtrang@kccllc.com; 718.753.1314

Key Assumptions Used in Estimate Preparation		
Size of Class	Unknown class members	
Case Duration	6 months	
# of Electronic, Finalized Data Files Provided (Excel, Access, etc.)	1 file(s)	
CAFA Notice Required?	Yes	
Claims Processing	No	
Address Searches	No	
Media Campaign Required	Yes	
Expert Media Services:	No	
English Only	Yes	
# of Email Campaigns	N/A	
Reminder Mailing	No	
Reminder Call Campaign	No	
Duration of Claims Filing Period	N/A	
Type of Telephone Support	None	
Type of Website Support	Static	
Duration of Website Support:	6 months	

SUMMARY OF COSTS	
FLAT COST****	<u>\$123,500</u>

NOTICE PROCEDURES	RESPONSE RATE	QUANTITY	RATE PER UNIT	ESTIMATED COST	TOTAL
System and Forms Set-up					
- Set up Case Management System					
- Format Document(s)					
CAFA Mailing					
- CAFA Mailing to State Attorneys General and US Attorney General					
Media Campaign					
- Internet Media					
- Targeting adults 18+ at 3x frequency cap using 300x250 banner only					
100,000,000 impressions					
Website Set-up & Maintenance					
- Design & Set up Static Website					
- Domain Registration (5 yrs/Privacy Registration)					
- Maintenance					
- Server Space rental					
Case Management and Declaration of Notice Procedures					
FLAT COST****					<u>\$123,500</u>

OTHER SERVICES AND OUT-OF-POCKET EXPENSES	RESPONSE RATE	QUANTITY	RATE PER UNIT	ESTIMATED COST	TOTAL
Other Services and Ad Hoc Reporting, as needed or requested				(standard hourly rates)	
Other Charges and Out-of-Pocket Costs***				(actual)	

* Estimated Postage and Handling.
 ** Does not include applicable taxes.
 *** Includes, but is not limited to long distance calls, overnight shipping, photocopies, storage, PO Box rentals, broker fees, etc.
 **** Flat Cost is contingent upon no significant change in the scope of work and does not contain taxes.

This Class Action Administration Services Estimate and the attached Cost Summary & Scope of Services (together, the "Proposal") are valid for ninety days from 7/19/2017. After such period, KCC reserves the right to amend the Proposal (including, without limitation, by increasing fees and costs) or to withdraw the Proposal in its sole discretion.

All services to be provided to the undersigned (the "Client") and all fees and costs set forth in the Proposal are subject to the terms, specifications, assumptions and conditions set forth in the Proposal and the attached Terms and Conditions (the "Terms of Service").

KCC Class Actions Services, LLC

BY: _____ DATE: _____

TITLE:

Lieff Cabraser Heimann & Bernstein LLP

BY: _____ DATE: _____

TITLE:



TERMS AND CONDITIONS

All services to be provided by KCC Class Action Services, LLC (together with its affiliates, "KCC"), including services provided to Client as set forth in the attached Proposal, are subject to the following Terms and Conditions:

1. SERVICES. KCC agrees to provide the services set forth in the Proposal attached hereto (the "Services"). Capitalized terms not otherwise defined herein have the meanings given to such terms in the Proposal. KCC will often take direction from Client's representatives, employees, agents and/or professionals (collectively, the "Client Parties") with respect to the Services. The parties agree that KCC may rely upon, and Client agrees to be bound by, any direction, advice or information provided by the Client Parties to the same extent as if provided by Client. Client agrees and understands that KCC shall not provide Client or any other party with any legal advice.

2. PRICES, CHARGES AND PAYMENT. KCC agrees to charge and Client agrees to pay, subject to the terms herein, KCC for its fees and charges as set forth in the Proposal. Client acknowledges that any estimate in the Proposal is based on information provided by Client to KCC and actual fees and charges may vary depending on the circumstances and length of the case. Notwithstanding the foregoing, where total charges are expected to exceed \$10,000 in any single month, KCC may require advance payment from Client due and payable upon demand and prior to the performance of services. KCC's prices are inclusive of commission and other charges and are generally adjusted periodically to reflect changes in the business and economic environment. KCC reserves the right to reasonably increase its prices, charges and rates annually. If any such increase exceeds 10%, KCC will give thirty (30) days written notice to Client. Client agrees to pay the reasonable out of pocket expenses incurred by KCC in connection with Services, including, but not limited to, transportation, lodging, and meals.

KCC agrees to submit its invoices to Client and Client agrees that the amount invoiced is due and payable upon receipt. If any amount is unpaid as of thirty (30) days from the receipt of the invoice, the Client further agrees to pay a late charge (the "Finance Charge"), calculated as one and one-half percent (1-1/2%) of the total amount unpaid every thirty (30) days. In the case of a dispute in the invoice amount, Client shall give written notice to KCC within twenty (20) days of receipt of the invoice by Client. Client agrees the Finance Charge is applicable to instances where KCC agreed to provide certain pre-settlement work while deferring the billing of said work until the settlement phase.

3. FURTHER ASSURANCES. Client agrees that it will use its best efforts to include provisions reasonably acceptable to KCC in any relevant court order, settlement agreement or similar document that provide for the payment of KCC's fees and expenses hereunder. No agreement to which KCC is not a party shall reduce or limit the full and prompt payment of KCC's fees and expenses as set forth herein and in the Proposal.

4. RIGHTS OF OWNERSHIP. The parties understand that the software programs and other materials furnished by KCC to Client and/or developed during the course of the performance of Services are the sole property of KCC. The term "program" shall include, without limitation, data processing programs, specifications, applications, routines, and documentation. Client agrees not to copy or permit others to copy the source code from the support software or any other programs or materials furnished to Client. Fees and expenses paid by Client do not vest in Client any rights in such property, it being understood that such property is only being made available for Client's use during and in connection with the Services provided by KCC.

5. CONFIDENTIALITY. Each of KCC and Client, on behalf of themselves and their respective employees, agents, professionals and representatives, agrees to keep confidential all non-public records, systems, procedures, software and other information received from the other party in connection with the Services; provided, however, that if either party reasonably believes that it is required to produce any such information by order of any governmental agency or other regulatory body it may, upon not less than five (5) business days' written notice to the other party, release the required information. These provisions shall survive termination of Services.

6. BANK ACCOUNTS. At Client's request, KCC shall be authorized to establish accounts with financial institutions as agent for Client or as otherwise agreed by the parties. All Client accounts established by KCC shall be deposit accounts of commercial banks with capital exceeding \$1 billion and an FIR rating of "A-" or higher (each, an "Approved Bank"). In some cases, KCC may derive financial benefits from financial institutions resulting from settlement funds and other moneys on deposit or invested with them including, for example, discounts provided on certain banking services and service fees. The amounts held pursuant to these Terms and Conditions ("Amounts Held") are at the sole risk of Client and, without limiting the generality of the foregoing, KCC shall have no responsibility or liability for any diminution of the fund that may result from any deposit made with an Approved Bank including any losses resulting from a default by the Approved Bank or other credit losses. It is acknowledged and agreed that KCC will have acted prudently in depositing the fund at any Approved Bank, and KCC is not required to make any further inquiries in respect of any such bank.

7. TERMINATION. The Services may be terminated by either party (i) upon thirty (30) days' written notice to the other party or (ii) immediately upon written notice for Cause (defined herein). As used herein, the term "Cause" means (i) gross negligence or willful misconduct of KCC that causes serious and material harm to Client, (ii) the failure of Client to pay KCC invoices for more than sixty (60) days from the date of invoice, or (iii) the accrual of invoices or unpaid services where KCC reasonably believes it will not be paid. Termination of Services shall not relieve Client of its obligations to pay all fees and expenses incurred prior to such termination.

In the event that the Services are terminated, regardless of the reason for such termination, KCC shall reasonably coordinate with Client to maintain an orderly transfer of data, programs, storage media or other materials furnished by Client to KCC or received by KCC in connection with the Services. Client agrees to pay for such services in accordance with KCC's then existing prices for such services.

8. LIMITATIONS OF LIABILITY AND INDEMNIFICATION. Client shall indemnify and hold KCC, its affiliates, members, directors, officers, employees, consultants, subcontractors and agents (collectively, the "Indemnified Parties") harmless, to the fullest extent permitted by applicable law, from and against any and all losses, claims, damages, judgments, liabilities and expenses (including reasonable counsel fees and expenses) (collectively, "Losses") resulting from, arising out of or related to KCC's performance of Services. Such indemnification shall exclude Losses resulting from KCC's gross negligence or willful misconduct. Without limiting the generality of the foregoing, Losses include any liabilities resulting from claims by any third-party against any Indemnified Party. Client shall notify KCC in writing promptly upon the assertion, threat or commencement of any claim, action, investigation or proceeding that Client becomes aware of with respect to the Services provided by KCC.

Except as provided herein, KCC's liability to Client or any person making a claim through or under Client or in connection with Services for any Losses of any kind, even if KCC has been advised of the possibility of such Losses, whether direct or indirect and unless due to gross negligence or willful misconduct of KCC, shall be limited to the total amount billed or billable for the portion of the particular work which gave rise to the alleged Loss. In no event shall KCC's liability for any Losses, whether direct or indirect, arising out of the Services exceed the greater of (i) the total amount billed and paid by or through Client for the Services and (ii) solely in the event of any loss of the Amount Held caused by KCC's gross negligence or willful misconduct, the total Amount Held under Section 6. In no event shall KCC be liable for any indirect, special or consequential damages such as loss of anticipated profits or other economic loss in connection with or arising out of the Services. Except as expressly set forth herein, KCC makes no representations or warranties, express or implied, including, but not limited to, any implied or express warranty of merchantability, fitness or adequacy for a particular purpose or use, quality, productiveness or capacity. The provisions of this Section 8 shall survive termination of Services.

9. FORCE MAJEURE. Whenever performance hereunder is materially prevented or impacted by reason of any act of God, strike, lock-out or other industrial or transportation disturbance, fire, lack of materials, law, regulation or ordinance, war or war condition, or by reason of any other matter beyond the performing party's reasonable control, then such performance shall be excused and shall be deemed suspended during the continuation of such prevention and for a reasonable time thereafter.

10. INDEPENDENT CONTRACTORS. KCC is and shall be an independent contractor of Client and no agency, partnership, joint venture or employment relationship shall arise, directly or indirectly, as a result of the Services or these Terms and Conditions.

11. NOTICES. All notices and requests hereunder shall be given or made upon the respective parties in writing and shall be deemed as given as of the third day following the day it is deposited in the U.S. Mail, postage pre-paid or on the day it is given if sent by facsimile or on the day after the day it is sent if sent by overnight courier to the appropriate address set forth in the Proposal or to such other address as the party to receive the notice or request so designates by written notice to the other.

12. APPLICABLE LAW. These Terms and Conditions will be governed by and construed in accordance with the laws of the State of California, without giving effect to any choice of law principles.

13. ENTIRE AGREEMENT; MODIFICATIONS; SEVERABILITY; BINDING EFFECT. These Terms and Conditions, together with the Proposal delivered pursuant hereto, constitutes the entire agreement and understanding of the parties in respect of the subject matter hereof and supersedes all prior understandings, agreements or representations by or among the parties, written or oral, to the extent they relate in any way to the subject matter hereof. If any provision herein shall be held to be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall in no way be affected or impaired thereby. These Terms and Conditions may be modified only by a written instrument duly executed by the parties. All of the terms, agreements, covenants, representations, warranties and conditions of these Terms and Conditions are binding upon, and inure to the benefit of and are enforceable by, the parties and their respective successors and permitted assigns.

EXHIBIT D

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

DANIEL MATERA, SUSAN RASHKIS as
individuals, and on behalf of other persons
similarly situated,

Plaintiffs,

v.

GOOGLE INC.,

Defendant.

Case No. 5:15-cv-04062 LHK

**[PROPOSED] ORDER
CONDITIONALLY CERTIFYING A
SETTLEMENT CLASS AND
PRELIMINARILY APPROVING
PROPOSED CLASS ACTION
SETTLEMENT**

Date:
Time:
Dept.: Courtroom 8 - 4th Floor
280 S. First Street
San Jose, CA 95113

Judge: The Hon. Lucy H. Koh
Trial Date: June 18, 2018

1 that it applies prior to the point when the Gmail user can retrieve the email in his or her mailbox
2 using the Gmail interface (“pre-delivery processing”) and that is used for Advertising Purposes, as
3 that term is defined in the Agreement. No information resulting from pre-delivery processing of
4 email content will be used for any Advertising Purpose. In addition, Google has agreed that
5 information from pre-delivery processing of email content that occurred before the date of the
6 Agreement or that occurs before the Stipulated Injunction goes into effect will not be used for
7 Advertising Purposes once the Stipulated Injunction commences. For outgoing email sent from a
8 Gmail account, Google will refrain from all processing of email content prior to the point when the
9 Gmail user can retrieve the outgoing email in his or her mailbox using the Gmail interface
10 (“outbound processing”) that is used for Advertising Purposes, and from using information from
11 outbound processing of email content for any Advertising Purpose. Google agrees to refrain from all
12 such activity for the Relevant Period. The Agreement provides Plaintiffs the relief that Plaintiffs seek
13 under both CIPA and the Wiretap Act.

14 **5.** As stated in the Settlement Agreement, “Google represents that it has no present intention
15 of eliminating the technical changes . . . after the expiration of the [three-year] term of the injunction.”
16 Agreement at 40(d).

17 **6.** It is appropriate to provisionally certify the Settlement Classes (defined below), for
18 settlement purposes only, pursuant to Federal Rule of Civil Procedure 23(b)(2):

19 **(a)** For settlement purposes only, the Settlement Classes are so numerous that
20 joinder of all members is impracticable.

21 **(b)** For settlement purposes only, Plaintiffs’ claims are typical of the Settlement
22 Classes’ claims.

23 **(c)** For settlement purposes only, there are questions of law or fact common to the
24 Settlement Classes.

25 **(d)** For settlement purposes only, Plaintiffs and Class Counsel can fairly and
26 adequately protect the interests of the Settlement Classes.

27 **IT IS ORDERED THAT:**

1 **1. Conditional Certification.** The Settlement Classes are conditionally certified, for
2 settlement purposes only, under Federal Rule of Civil Procedure 23(b)(2) as:

3 **(a)** All natural persons in the United States who have never established a Gmail
4 account with Google, and who have sent unencrypted emails to individuals with Gmail accounts.

5 **(b)** All natural persons in the State of California who have never established a
6 Gmail account with Google, and who have sent unencrypted emails to individuals with Gmail
7 accounts.

8 **2. Appointment of Class Representatives and Class Counsel for Settlement**
9 **Purposes Only.** Plaintiffs Daniel Matera and Susan Rashkis are conditionally certified as the Class
10 Representatives to implement the parties' settlement in accordance with the Agreement. Lief
11 Cabraser Heimann & Bernstein, LLP, Carney Bates & Pulliam, PLLC, and Gallo, LLP are
12 conditionally appointed as Class Counsel for settlement purposes under Rule 23(g). The Court finds
13 that Plaintiffs and Class Counsel will fairly and adequately protect the Settlement Classes' interest.

14 **3. Settlement Approval.** The Court GRANTS preliminary approval of the Settlement
15 and all of the terms and conditions contained in it.

16 **4. Provision of Class Notice.**

17 **(a)** The Court approves the proposed notice and finds that the dissemination of
18 the Notice substantially in the manner and form set forth in the Agreement complies fully with the
19 requirements of Federal Rule of Civil Procedure 23 and due process of law. The notice procedures
20 set forth in the Agreement are hereby found to be the best practicable means of providing notice of
21 the Agreement under the circumstances and, when completed, shall constitute due and sufficient
22 notice of the proposed Agreement and the Final Approval Hearing to all persons affected by and/or
23 entitled to participate in the Agreement, in full compliance with the applicable requirements of
24 Federal Rule of Civil Procedure 23 and due process.

25 **(b)** Pursuant to the Notice Plan attached as Exhibit C to the Agreement, notice
26 shall be published via KCC Class Action Services, LLC's Legal Notification Services team, which
27 will place banner ads on a collection of popular websites. The Settlement Administrator represents
28 that it will ensure these ads will make 100,000,000 unique impressions upon internet users, with no

1 single user receiving more than three impressions. The banner ads will direct internet users, via a
2 link, to the Settlement Website providing fulsome notice to Class Members. This is sufficient to
3 inform Class Members, who are all internet users, of the proposed Settlement and their right to
4 object to it.

5 (c) No later than 14 days before the Final Approval Hearing Google shall file a
6 declaration attesting that notice was provided in accordance with the Settlement and this Order.

7 **5. Final Approval Hearing.** The Court will hold a Final Approval Hearing at
8 [_____] on [_____], 2017. At the Final Approval Hearing, the Court will consider: (1)
9 whether the Agreement should be finally approved as fair, reasonable, and adequate for the Class,
10 (2) whether a judgment dismissing the Action with prejudice, based on final settlement approval,
11 should be entered; and (c) whether Plaintiffs' Counsel's application for attorneys' fees and expenses
12 and for service awards to the Class Representatives should be granted.

13 **6. Objection to Settlement.**

14 (a) Each Class Member shall be given a full opportunity to comment on or object
15 to the Agreement, and to participate at a Final Approval Hearing to be held in this Court on
16 [_____], 2017. The Class Notice shall state the date, time and location of the
17 hearing. Any Class Member wishing to comment on or object to the Agreement shall file such
18 comment or objection in writing shall mail such comment or objection to the Settlement
19 Administrator within 90 days after the dissemination of notice. The Settlement Administrator shall
20 forward copies of such comments or objections to counsel for both parties. Class Counsel shall file
21 such comments and/or objections with the Court within 100 days after dissemination of notice.
22 Should any party wish to file a written response to any comment or objection filed by a Class
23 Member, such response shall be filed no later than 10 days before the Final Approval Hearing. No
24 Class Member shall be entitled to be heard at the Final Approval Hearing, whether individually or
25 through counsel, unless written notice of the Class Member's intention to appear at the Final
26 Approval Hearing shall have been timely mailed to the Settlement Administrator within 90 days
27 after the dissemination of notice.

28 (b) Any objection must contain: the objector's name, address, and personal

1 signature; a statement whether the objector intends to appear at the Final Approval Hearing, either in
2 person or through counsel, and, if through counsel, identifying counsel by name, address, and phone
3 number; and a statement of the grounds for his, her, or its objection.

4 (c) The date of the postmark on the envelope containing the written statement
5 objecting to the settlement shall be the exclusive means used to determine whether an objection
6 and/or intention to appear has been timely submitted. Class Members who fail to mail timely written
7 objections in the manner specified above shall be deemed to have waived any objections and shall be
8 forever barred from objecting to the Agreement and the proposed settlement by appearing at the
9 Final Approval Hearing, appeal, collateral attack, or otherwise.

10 **7. Final Approval.** Within 60 days after the date of this Order the Class
11 Representatives shall file their memorandum in support of final approval of the Settlement, and
12 Class Counsel shall file their application for attorneys' fees and expenses and for service awards to
13 the Class Representatives.

14 **8. Termination.** If the Settlement Agreement terminates for any reason, this Action
15 will revert to its previous status in all respects as it existed before the Parties executed the
16 Agreement. This Court's conditional certification of the Settlement Classes and findings underlying
17 the conditional certification shall be solely for settlement purposes. This Order will not waive or
18 otherwise impact the Parties' rights or arguments.

19 **9. Stay of Dates and Deadlines.** All discovery and pretrial proceeding deadlines are
20 hereby vacated and suspended until further notice from the Court, except for such actions as are
21 necessary to implement the Agreement and this Order.

22 **10. CAFA Notice.** Google shall file with the Court a Notice of Compliance with 28
23 U.S.C. § 1715 within 30 days after the date of this Order.

24 **11. Settlement Administrator.** The Court hereby approves KCC Class Action Services,
25 LLC as Settlement Administrator to implement the Notice Plan.

26 **12. Definitions.** Unless otherwise defined herein, all terms that are capitalized herein
27 shall have the meanings ascribed to those terms in the Agreement.

28

1 **13. Jurisdiction.** The Court has jurisdiction over this Action pursuant to 28 U.S.C. §§
2 1331 and 1367, as Plaintiffs bring claims arising under the Electronic Communications Privacy Act
3 of 1986 and the California Invasion of Privacy Act.

4 **IT IS SO ORDERED.**

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6 Dated: _____

LUCY H. KOH
United States District Judge

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

DANIEL MATERA, SUSAN RASHKIS as
individuals, and on behalf of other persons
similarly situated,

Plaintiffs,

v.

GOOGLE INC.,

Defendant.

Case No. 5:15-cv-04062 LHK

**[PROPOSED] ORDER
CONDITIONALLY CERTIFYING A
SETTLEMENT CLASS AND
PRELIMINARILY APPROVING
PROPOSED CLASS ACTION
SETTLEMENT**

Date: August 31, 2017
Time: 1:30 p.m.
Dept.: Courtroom 8 - 4th Floor
280 S. First Street
San Jose, CA 95113

Judge: The Hon. Lucy H. Koh
Trial Date: June 18, 2018

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4 **IT IS SO ORDERED.**

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6 Dated: _____

LUCY H. KOH
United States District Judge

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